

**FIRSTRAND TRUSTEES LIMITED
THE PLAIDERIE PENSION SCHEME APPLICATION FORM**

A Qualifying Recognised Overseas Pension Scheme for UK pension scheme transfers for non UK residents



FIRSTRAND
Private Wealth Management

The Plaiderie Pension Scheme

Application Form

To: **FirstRand Trustees Limited** (hereinafter referred to as “the Trustees” of The Plaiderie Pension Scheme (“the Scheme”).

I should be grateful if the Trustees would accept my application for membership of the Scheme in accordance with the information as set out below:

1. Details of Applicant

Full name	
Place & date of birth	
Maiden / Previous names	
Marital status	
Full name of spouse	
Maiden / Previous name of spouse	
Permanent residential address	
Home telephone	
Work telephone	
Mobile	
Email	
Home facsimile	
Work facsimile	
Correspondence address (if different from residential address)	
Nationality	
Country of permanent residence	

Occupation	
Employer address	
Estimated wealth value	
Source of wealth	

(For Business Owner only)

Name of business	
Nature of business	
Address	
Website address	

Do you hold or have held, at any time, political office?
 Yes No

Have you ever been declared bankrupt?
 Yes No

Verification

We are required by law to undertake verification procedures for all new clients. Please provide the following documentation:

- 1) One **original** utility bill (or other proof) bearing your residential address (no more than 3 months old); and
- 2) a copy of passport or national ID card (including the signature) certified by a suitable certifier (i.e. lawyer, accountant, Notary Public or similar) to be a true likeness.

N.B: These are minimum requirements and more information may be requested at the Company's discretion. FirstRand Trustees Limited reserves the right to refuse the application or investment of any assets received until such time as compliance obligations are met and will not be liable for any losses of any sort which may arise during this period as a result of investing or not investing any monies received.

2. Details of Beneficiaries

Please list the chosen beneficiaries.

1. Full name	
Date of birth	
Address	

2. Full name	
Date of birth	
Address	

3. Full name	
Date of birth	
Address	

4. Full name	
Date of birth	
Address	

If there are more than four beneficiaries, please provide details separately and include with this application.

3. Source and amount of Funds

Please provide the name and address of your current pension provider.

Pension Provider	Policy Number	Approx. Amount
TOTAL INVESTMENT		

N.B: Where additional funds are to be introduced other than from an existing pension provider, we will require details of the source of funds.

4. Correspondence

Please tick the appropriate box indicating where you wish correspondence to be sent:

- Mail to postal address
- Mail to residential address
- Send to e-mail address

Email address	
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5. Fees

The following fees are applicable to a bespoke QROPS with no limitation on investments. Where a QROPS is holding more simple assets, such as a single investment portfolio or Portfolio Bond, fees may be reduced and will be quoted on a case by case basis. Whilst there is no minimum investment amount, it would not normally be cost effective for sums of less than £250,000.

Trustee Fees:

Initial fee:	1% minimum £3,000
Annual fee:	1% minimum £3,000
Termination/Exit fee:	1% minimum £3,000

Advisory Fees

Your Financial Adviser may charge additional fees for provision of both initial and ongoing advice or may receive initial and/or ongoing rebates from the investment chosen. You should discuss and confirm such fees and rebates with him directly.

6. Investment Schedule

Please list the investments you wish the Trustees to make. Alternatively, please include investment instructions from your Financial Adviser or a separate investment schedule.

Fund	Currency	Percentage/Amount

7. Investment Advisor

Full name	
Contact name	
Address	
Facsimile	
Telephone	
Email	

Signature (Financial Advisor/Manager)

8. Trust Deed

You will be given a copy of The Plaiderie Pension Scheme Trust Deed and Scheme Rules. If not, please request a copy.

9. Agreement

I have arranged for the Funds to be transferred to the Scheme.

I will be able to meet all current known liabilities when they fall due, all foreseeable liabilities and any such contingent liabilities as could reasonably be expected to crystallise.

I have not been declared bankrupt or en désastre in any part of the world or have been a director or otherwise concerned in the management of a company which has been subject to an insolvent liquidation or been the subject of a judicial enquiry;

I have not been engaged in or have benefited from criminal conduct in any part of the world and funds which are subject to the proposed arrangement do not wholly or in part directly or indirectly represent the proceeds of criminal conduct; and

I have not been charged with a serious offence in any part of the world or am aware of any circumstances which might lead to such a charge.

I further confirm that the Trustees shall act as Trustees upon the terms, obligations and rights set out in the Trust Deed and Scheme Rules and on the basis of the fee proposal, as advised to me which I agree and by which I will be bound in signing this request.

I hereby agree to indemnify the Trustees against all actions, suits, proceedings, claims, demands, costs, charges and expenses (including, without limitation, any loss arising out of delay, mis-delivery or error in the transmission of any letter, cable, telex, telephone communication, facsimile transmission or email) which may be incurred or become payable by you in respect of your accepting or holding such assets as Trustees unless any such action, suit, proceeding, claim, demand, cost, charge or expense shall arise as a result of fraud, wilful misconduct or gross negligence on your part.

I also confirm that I will transfer assets into the Trust Fund to enable the Trustees' charges for creating and administering this Trust to be paid in the event that there are insufficient available assets in the Trust to meet such charges. I further confirm that this undertaking will apply to any underlying company should the Trustees consider it appropriate to form any such vehicle.

I accept that FirstRand Trustees Limited, in line with customary business practice, shall be entitled to retain for its own benefit any brokerage, commission, rebates or fees received in respect of any business transacted upon, notwithstanding that such brokerage, commission, rebates or fees are payable as a direct or indirect result of any dealing with property which is or may become subject to the Trusts hereof.

In consideration of the Trustees acting, from time to time, in accordance with the Trust Deed and upon written, postal, cabled, facsimiled or telexed requests or recommendations ("Requests") from me, I hereby agree to indemnify fully and hold the Trustees harmless in respect of all claims, actions, damages, proceedings, costs and expenses suffered or incurred by the Trustees as a result, direct or otherwise, of the Trustees acting upon such Requests. In instances agreed by the Trustees in advance, the Trustees may accept oral Requests or Requests conveyed by telephone or email, provided however that such oral, telephone or email Requests shall be confirmed by written, posted, cabled or facsimiled requests as soon as reasonably possible thereafter.

I confirm that I have been advised by the Trustees to seek independent and appropriate legal and tax advice as to the consequences of this transaction. I further confirm that I will attend to all and any necessary reporting requirements in any appropriate jurisdiction relevant to me in respect of this transaction.

I hereby acknowledge that any telephone call to/from any Member of the FirstRand Trustees Limited Group of companies may be recorded or monitored.

I hereby acknowledge and agree that the information provided in this booklet may be held on computer and on other records and that such information may be disclosed to and used by any company within the FirstRand Group of Companies (including agents and actual prospective successors and transferee of such company) to assist in providing the best service and to provide information about products and services that may be of interest to me/us, unless the person(s) named in this booklet indicates that they do not wish to receive such information by writing to the Administrator or by ticking the appropriate box.

Whilst we treat all client records as confidential, details may have to be passed to our regulatory authorities and auditors for the purposes of compliance.

I do not wish to receive information about products and services

This request is governed by the laws of the Island of Guernsey.

10. Signature

Name	
Signed	
Date	

N.B: FirstRand Trustees Limited reserves the right to decline the Applicant by notice in writing.

Investment Profile Form:

Investment Objectives and Risk Tolerance:

Base currency of investments	
Sterling	<input type="checkbox"/>
US Dollar	<input type="checkbox"/>
Euro	<input type="checkbox"/>
Other (please specify)	
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What is the investment objective?

- Income – primary objective to produce a stable level of income. (This is likely to be applicable at or close to retirement age.)
- Balanced – primary objective to achieve a balance between income and the potential for capital growth where there might typically be a combination of low, medium and some higher risk investments in alternative asset classes.
- Capital Growth – primary objective to achieve capital growth where there may be a higher element of volatility to achieve the growth objective and exposure to medium and high investments including possibly alternative asset classes.

What is your attitude to risk?

- Low Risk – preference for little risk and low volatility. Willing to accept potentially lower returns to reduce the exposure to losses.
- Moderate Risk – preference for moderate risk with some volatility. Willing to assume an average amount of market risk and volatility or risk of loss of capital in order to achieve potentially higher returns than a low risk portfolio.
- High Risk – preference for aggressive investments with the hope of achieving commensurate gains. Willing to assume above average market risk and volatility and the potential for a significant risk of loss of capital in order to achieve higher potential returns.

What is your current and planned retirement age?

Current	Planned

Investment preferences/restrictions (e.g. geographic, sector, ethical, currency)

Please use this space to advise us of any preferences or restrictions which you would like the Trustees, or the appointed Investment Manager, to note prior to making investments. We would be grateful if you could provide as much information as possible including details, where applicable, of any aspects of a particular investment sector which you specifically want us to avoid. For example, you may be happy for us to invest in the Entertainment Sector which could include hotel chains, but may wish us to avoid any companies associated with gambling or alcohol, such as casinos.

Signed by Investment Advisor	
Date	

Signed by Applicant	
Trust name	

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